

ANNEXURE A					
Form No. MGT-9 EXTRACT OF ANNUAL RETURN as on the financial year ended on 31.03.2020 [Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]					
I. REGISTRATION AND OTHER DETAILS:					
i)	CIN	U24100MH2004PTC149240			
ii)	Registration Date	25th October, 2004			
iii)	Name of the Company	Hardcastle Petrofer Private Limited			
iv)	Category / Sub-Category of the Company	Private Company Limited by Shares			
v)	Address of the Registered office and contact details	Office No. 802, Floor-8, Plot-213, Raheja Chambers, Free Press Journal Marg, Nariman Point, Mumbai-400021 Tel No.Tel.: +91 22-2283 7614 E-mail Id: ho@hawcoindia.com, Website: www.hawcoindia.com			
vi)	Whether listed company	No			
vii)	Name, Address and Contact details of Registrar and Transfer Agent, if any	NA			
II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY:					
All the business activities contributing 10 % or more of the total turnover of the company shall be stated:-					
Sr. No.	Name and Description of main products /services	NIC Code of the Product/ service	% to total turnover of the company		
1	Products with Petroleum Oils (as basic constituent)	2029	37.46		
2	Hydraulic Brake Fluids & Other Prepared Liquids		16.96		
3	Lubricating Preparations		25.86		
4	Heat Treatment Chemicals & Salts		11.44		
III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES:					
Sr.No.	Name & Address of the Company	CIN/GLN	Holding/Subsidiary/ Associate	% of shares held	Applicable Section
NA					

IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

i) Category-wise Share Holding

Category of Shareholders	No. of Shares held at the beginning of the year (As on 1.4.2019)				No. of Shares held at the end of the year (As on 31.3.2020)				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoters									
(1) Indian									
a) Individual/HUF	-	4,51,760	4,51,760	53.389	-	5,56,140	5,56,140	65.725	12.336
b) Central Govt.	-	-	-	-	-	-	-	-	-
c) State Govt (s)	-	-	-	-	-	-	-	-	-
d) Bodies Corporate	-	70,050	70,050	8.279	-	70,020	70,020	8.275	(0.004)
e) Bank/FI	-	-	-	-	-	-	-	-	-
f) Any other	-	-	-	-	-	-	-	-	-
Sub Total (A) (1) :	-	5,21,810	5,21,810	61.668	-	6,26,160	6,26,160	74.000	12.332
(2) Foreign									
a) NRI- Individuals	-	1,04,350	1,04,350	12.332	-	-	-	-	(12.332)
b) Other Individuals	-	-	-	-	-	-	-	-	-
c) Bodies Corporate	-	-	-	-	-	-	-	-	-
d) Bank/FI	-	-	-	-	-	-	-	-	-
e) Any other	-	-	-	-	-	-	-	-	-
Sub Total (A) (2) :	-	1,04,350	1,04,350	12.332	-	-	-	-	(12.332)
Total Shareholding of Promoters (A) = (A) (1) + (A) (2)	-	6,26,160	6,26,160	74.000	-	6,26,160	6,26,160	74.000	-
B. Public Shareholding									
(1) Institutions									
a) Mutual Funds	-	-	-	-	-	-	-	-	-
b) Banks/FI	-	-	-	-	-	-	-	-	-
c) Central Govt.	-	-	-	-	-	-	-	-	-
d) State Govt(s)	-	-	-	-	-	-	-	-	-
e) Venture Capital Funds	-	-	-	-	-	-	-	-	-
f) Insurance Companies	-	-	-	-	-	-	-	-	-
g) FIs	-	-	-	-	-	-	-	-	-
h) Foreign Venture Capital Funds	-	-	-	-	-	-	-	-	-
i) Others	-	-	-	-	-	-	-	-	-
Sub Total (B) (1) :	-	-	-	-	-	-	-	-	-
(2) Non Institutions									
a) Bodies Corporates	-	-	-	-	-	-	-	-	-
i) Indian	-	-	-	-	-	-	-	-	-
ii) Overseas	-	2,20,000	2,20,000	26.000	-	2,20,000	2,20,000	26.000	-
b) Individuals	-	-	-	-	-	-	-	-	-
i) Individual shareholders holding nominal share capital upto Rs 1 lakh	-	-	-	-	-	-	-	-	-
ii) Individuals shareholders holding nominal share capital in excess of Rs 1 lakh	-	-	-	-	-	-	-	-	-
c) Others (Specify)	-	-	-	-	-	-	-	-	-
i. Non-Resident Indian	-	-	-	-	-	-	-	-	-
ii. HUF	-	-	-	-	-	-	-	-	-
iii. Clearing Members	-	-	-	-	-	-	-	-	-
iv. Hardcastle & Waud Mfg. Co.Ltd. Unclaimed Suspense Account	-	-	-	-	-	-	-	-	-
Sub Total (B) (2) :	-	2,20,000	2,20,000	26.000	-	2,20,000	2,20,000	26.000	-
Total Public shareholding (B) = (B) (1) + (B) (2)	-	2,20,000	2,20,000	26.000	-	2,20,000	2,20,000	26.000	-
C. Shares held by Custodian for GDRs & ADRs	-	-	-	-	-	-	-	-	-
Grand Total (A+B+C)	-	8,46,160	8,46,160	100.000	-	8,46,160	8,46,160	100.000	-

ii) Shareholding of Promoters

Sr. No	Shareholder's Name	Shareholding at beginning of the year (As on 01.04.2019)			Shareholding at end of the year (As on 31.03.2020)			% of change in shareholding during the year
		No. of Shares	% of total shares of the Company	% of shares pledged/encumbered to total shares	No. of Shares	% of total shares of the Company	% of shares pledged/encumbered to total shares	
1	Smt Lalita Devi Jatia jointly with Shri Banwari Lal Jatia	1,78,700	21.119	-	-	-	-	(21.119)
2	Smt Usha Devi Jatia jointly with Shri Banwari Lal Jatia	1,68,700	19.937	-	-	-	-	(19.937)
3	Shri Akshay Jatia jointly with Smt Smita Jatia	52,175	6.166	-	-	-	-	(6.166)
4	Shri Ayush Jatia jointly with Smt Smita Jatia	52,175	6.166	-	-	-	-	(6.166)
5	Shri Anurag Jatia jointly with Shri Banwarilal Jatia	1,04,350	12.332	-	-	-	-	(12.332)
6	Amit Jatia HUF	10	0.001	-	-	-	-	(0.001)
7	Horizon Impex Private Limited	20	0.002	-	-	-	-	(0.002)
8	Achal Exim Private Limited	70,000	8.273	-	70,000	8.273	-	-
9	Vishwas Investment & Trading Company Private Limited	10	0.001	-	-	-	-	(0.001)
10	Saubhagya Impex Private Limited	10	0.001	-	10	0.001	-	-
11	Shri Ambika Trading Company Private Limited	10	0.001	-	10	0.001	-	-
12	Shri Banwari Lal Jatia jointly with Smt Ushadevi Jatia	-	-	-	1,04,350	12.332	-	12.332
13	Shri Achal Jatia	-	-	-	4,51,790	53.393	-	53.393
	Total	6,26,160	74.000		6,26,160	74.000		-

iii) Change in Promoters Shareholding (Specify if there is No Change)

Sr. No.		Shareholding at beginning of the year (As on 01.04.2019)		Cumulative Shareholding during the year (01.04.2019 to 31.03.2020)	
		No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
1	At the beginning of the year	6,26,160	74.000	6,26,160	74.000
2	Date wise increase / decrease in Promoters Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc)	#		#	
3	At the end of the year	6,26,160	74.000	6,26,160	74.000

Inter-se transfers among Promoters

Sr. No.	Name	Shareholding		Date	Increase / Decrease in shareholding	Reason	Cumulative Shareholding during the year (01.04.2019 to 31.03.2020)	
		No. of Shares at the beginning of the year (01.04.2019) / end of the year (31.03.2020)	% of total shares of the Company				No. of Shares	% of total shares of the Company
1	Smt Lalita Devi Jatia jointly with Shri Banwari Lal Jatia	1,78,700	21.119	01.04.2019				
				10.02.2020	(1,78,700)	Transfer	-	-
		-	-	31.03.2020				
2	Smt Usha Devi Jatia jointly with Shri Banwari Lal Jatia	1,68,700	19.937	01.04.2019				
				10.02.2020	(1,68,700)	Transfer	-	-
		-	-	31.03.2020				
3	Shri Akshay Jatia jointly with Smt Smita Jatia	52,175	6.166	01.04.2019				
				10.02.2020	(52,175)	Transfer	-	-
		-	-	31.03.2020				
4	Shri Ayush Jatia jointly with Smt Smita Jatia	52,175	6.166	01.04.2019				
				10.02.2020	(52,175)	Transfer	-	-
		-	-	31.03.2020				
5	Amit Jatia HUF	10	0.001	01.04.2019				
				10.02.2020	(10)	Transfer	-	-
		-	-	31.03.2020				
6	Horizon Impex Private Limited	20	0.002	01.04.2019				
				10.02.2020	(20)	Transfer	-	-
		-	-	31.03.2020				
5	Vishwas Investment & Trading Company Private Limited	10	0.001	01.04.2019				
				10.02.2020	(10)	Transfer	-	-
		-	-	31.03.2020				
6	Shri Achal Jatia	-	-	01.04.2019				
				10.02.2020	3,47,440	Transfer	3,47,440	41.061
				12.02.2020	1,04,350	Transfer	4,51,790	53.393
		4,51,790	53.393	31.03.2020				
7	Shri Banwari Lal Jatia jointly with Smt Ushadevi Jatia	-	-	01.04.2019				
				10.02.2020	1,04,350	Transfer	1,04,350	12.332
		1,04,350	12.332	31.03.2020				
8	Shri Anurag Jatia jointly with Shri Banwarilal Jatia	1,04,350	12.332	01.04.2019				
				12.02.2020	(1,04,350)	Transfer	-	-
		-	-	31.03.2020				

iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters & Holders of GDRs & ADRs)

Sr. No.	Shareholder's Name	Shareholding		Date	Increase / Decrease in shareholding	Reason	Cumulative Shareholding during the year (01.04.2019 to 31.03.2020)	
		No. of Shares at the beginning of the year (01.04.2019) / end of the year (31.03.2020)	% of total shares of the Company				No. of Shares	% of total shares of the Company
1	Petrofer GmbH	2,20,000	26.000	01.04.2019			-	-
					No Change			
		2,20,000	26.000	31.03.2020			-	-

v) Shareholding of Directors & Key Managerial Personnel

Sr. No.	Name	Shareholding		Date	Increase / Decrease in shareholding	Reason	Cumulative Shareholding during the year (01.04.2019 to 31.03.2020)	
		No. of Shares at the beginning of the year (01.04.2019) / end of the year (31.03.2020)	% of total shares of the Company				No. of Shares	% of total shares of the Company
1	Shri Achal Jatia	-	-	01.04.2019			-	-
				10.02.2020	3,47,440	Transfer	3,47,440	41.061
				12.02.2020	1,04,350	Transfer	4,51,790	53.393
		4,51,790	53.393	31.03.2020				

V. INDEBTEDNESS OF THE COMPANY INCLUDING INTEREST OUTSTANDING/ ACCRUED BUT NOT DUE FOR PAYMENT.

(Rs In Lakhs)

	Secured Loans	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year (01.04.2019)				
i. Principal Amount	1,897.61	-	-	1,897.61
ii. Interest due but not paid	-	-	-	-
iii. Interest accrued but not due	-	-	-	-
Total (i + ii + iii)	1,897.61	-	-	1,897.61
Change in Indebtedness during the financial year				
Additions	29,754.07	-	-	29,754.07
Reduction	30,700.44	-	-	30,700.44
Net Change	(946.37)	-	-	(946.37)
Indebtedness at the end of the financial year (31.3.2020)				
i. Principal Amount	951.24	-	-	951.24
ii. Interest due but not paid	-	-	-	-
iii. Interest accrued but not due	-	-	-	-
Total (i + ii + iii)	951.24	-	-	951.24

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A) Remuneration to Managing Director, Whole time Directors and / or Manager:

Sr No.	Particulars of Remuneration	Name of Director	Total Amount (Rs Lakhs)
		Shri Achal Jatia (Executive Chairman)	
1	Gross Salary		
	(a) Salary as per provisions contained in Section 17(1) of the Income Tax Act, 1961	46.125	46.125
	(b) Value of perquisites u/s 17(2) of Income Tax Act, 1961		
	(c) Profits in lieu of Salary under section 17(3) of Income Tax Act, 1961	-	-
2	Stock Option	-	-
3	Sweat Equity	-	-
4	Commission - as % of Profit	-	-
5	Others	-	-
	Total (A)	46.125	46.125
	Ceiling as per the Act	Not Applicable, being a Private Limited Company.	

B) Remuneration to other Directors:

Sr. No.	Particulars of Remuneration	Name of the Director			
1	Independent Directors				Total Amount (Rs Lakhs)
	(a) Fee for attending board and committee meetings	-	-	-	-
	(b) Commission	-	-	-	-
	(c) Others	-	-	-	-
	Total (1)	-	-	-	-
2	Other Non-Executive Directors	Shri Amit Jatia	Dr Shatadru Sengupta	Shri Constantin Heinz Marcus Fischer	Total Amount (Rs Lakhs)
	(a) Fee for attending board and committee meetings	NIL	NIL	NIL	NIL
	(b) Commission	NIL	NIL	NIL	NIL
	(c) Others	NIL	NIL	NIL	NIL
	Total (2)	NIL	NIL	NIL	NIL
	Total (B) = 1 + 2	NIL	NIL	NIL	NIL
	Total Managerial Remuneration				46.125
	Overall Ceiling as per the Act.	Not Applicable, being a Private Limited Company.			

C) Remuneration to Key Managerial Personnel other than MD / MANAGER / WTD:

The Company does not have any Key Managerial Personnel.

VII. PENALTIES / PUNISHMENT / COMPOUNDING OF OFFENCES

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment / Compounding fees imposed	Authority (RD / NCLT / Court)	Appeal made if any (give details)
A. COMPANY					
Penalty					
Punishment					
Compounding					
B. DIRECTORS					
Penalty			NIL		
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT					
Penalty					
Punishment					
Compounding					